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## Time-Space Trade-offs in<br> \title{ \section*{Time-Space Trade-offs in Population Protocols} 

 Population Protocols}}

Alexander Nemetz-Fiedler

## 2017

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## Overview

- What are population protocols?
- What is leader election?
-What is the majority problem?
- Overview of the Paper
- Lower Bound for majority and leader election
- New algorithms for majority and leader election
- Lottery Election (detailed)
- Split-Join Majority (overview)


## Population Protocols

- Set of $n \geq 2$ agents
- Each executing deterministic state machine
- State from a finite set $\Lambda_{n}$, might depend on $n$
- Transition function $\delta_{n}: \Lambda_{n} \times \Lambda_{n} \rightarrow \Lambda_{n} \times \Lambda_{n}$
- Output function $\gamma_{n}: \Lambda_{n} \rightarrow 0$
- Pairs of agents are chosen uniformly at random
- Each agent updates state according to transition function $\delta_{n}$
- Result can be checked with output function


## Leader Election

- All agents start in the same initial state
- Output set O is $\{$ Win, Lose $\}$
- Goal: One agent has Output Win, the rest has Lose


## Majority Problem

- Two initial states $A_{n}, B_{n}$
- Output set is $\left\{\right.$ Win $_{a}$, Win $\left._{b}\right\}$
- Goal: Output of every agent should correspond to majority of initial state
- If $A_{n}, B_{n}$ are split 50/50, output is arbitrary


## The Paper

- Title: Time-Space Trade-Offs in Population Protocols (2017)
- Authors:
- Alistarh (ETH Zürich)
- Aspnes (Yale)
- Eisenstat (Google)
- Gelashvili (MIT)
- Rivest (MIT)
- Trade-off between number of states and running time
- New and improved algorithms for majority and leader election


## The Paper

| Problem | Type | Expected Time Bound | Number of States | Reference |
| :---: | :---: | :---: | :---: | :---: |
| Exact Majority | Algorithm | $O(n \log n)$ | 4 | [DV12,MNRS14] |
|  | Algorithm | $O\left(\log ^{2} n\right)$ | $\Theta(n)$ | [AGV15] |
|  | Lower Bound | $\Omega(n)$ | $\leq 4$ | [AGV15] |
|  | Lower Bound | $\Omega(\log n)$ | any | [AGV15] |
| Leader Election | Algorithm | $O\left(\log ^{3} n\right)$ | $O\left(\log ^{3} n\right)$ | [AG15] |
|  | Lower Bound | $\Omega(n)$ | $O(1)$ | [DS15] |
| Exact Majority | Lower Bound | $\Omega(n / \operatorname{polylog} n)$ | $<1 / 2 \log \log n$ | This paper |
| Leader Election |  | $O\left(\log ^{3} n\right)$ | $O\left(\log ^{2} n\right)$ | This paper |
| Exact Majority | Algorithm | $O\left(\log ^{5.3} n \log \log n\right)$ | $O\left(\log ^{2} n\right)$ | This paper |
| Leader Election | Algorithm |  |  |  |

Figure 1: Summary of results and relation to previous work.

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## Lower Bound (idea)

- Two-Step argument
- First: Hypothetical algorithm converges faster than allowed by the lower bound, set of low count states can be "erased"
- Second: Engineer examples to contradict the correctness of that algorithm
- Leader Election: Remaining low count states are set of all potential leaders
- Majority: Remaining low count states could sway the outcome of majority


## Lower Bound (Leader)

COROLLARY 3.1. Any monotonic population protocol with $\left|\Lambda_{n}\right| \leq 1 / 2 \log \log n$ states for all sufficiently large number of agents $n$ that stably elects at least one and at most $\ell(n)$ leaders, must take $\Omega\left(\frac{n}{\left.\left.\left.144\right|^{\left|\Lambda_{n}\right|} \cdot\right|_{n}\right|^{6} \cdot \ell(n)^{2}}\right)$ expected parallel time to convergence.

- Monotonic: Number of states cannot decrease with increasing node count


## Lower Bound (Majority)

COROLLARY 3.2. Any monotonic population protocol with $\left|\Lambda_{n}\right| \leq 1 / 2 \log \log n$ states for all sufficiently large number of agents $n$ that stably computes correct majority decision for initial configurations with majority advantage $\epsilon$, must take $\Omega\left(\frac{n}{36^{\left|\Lambda_{n}\right|} \cdot\left|\Lambda_{n}\right|^{6} \cdot \max \left(2^{\left|\Lambda_{n}\right|}, \epsilon n\right)^{2}}\right)$ expected parallel time to convergence.

- Monotonic: Number of states cannot decrease with increasing node count


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## Synthetic Coin Flips

- Problem: We need a random coin parameter in our state, but states are deterministic (e.g. no randomness)
- Solution: Synthetic Coin Flips
- When $x$ and $y$ interact, they flip their own values
- E.g. value' $=1$ - value
- Reminder: Interactions are chosen uniformly at random
- Randomness is extracted from the scheduler
- They prove that w.h.p. the distribution quickly becomes uniform
- Important: Happens independently from the algorithm


## Lottery Election

- All nodes start in the same state, comprised of parameters:
- coin $=\{0,1\}$ (initially 0 )
- mode $=$ \{seeding, lottery, tournament, minion\} (initially seeding)
- payoff, level, counter, phase, ones
- 4 Modes:
- Seeding Mode, Lottery Mode, Tournament Mode, Minion Mode
- Fix a parameter $m \geq(10 \log n)^{2}$
- Protocol will use $O(m)$ states per node


## Lottery Election - Seeding Mode

- Used to mix the coin parameter close to uniform random
- payoff,level = 0
- counter = 4
- In the first four interactions, decrease counter (and flip coin)
- When counter reaches 0 , move on to Lottery Mode


## Lottery Election - Lottery Mode

- Used to generate payoff values
- Higher values are less likely, finding a leader becomes easier
- Increment payoff when partner has coin $=1$
- When partner has coin $=0$ or payoff $=\sqrt{m}$, move on to Tournament Mode


## Lottery Election - Tournament Mode

- Forces agents to compete
- Generates additional tie-braking random values (level)
- Initialize level = 0
- level is incremented if agent consecutively sees $\Theta(\log p a y o f f)$ coins set to 1
- This is implemented using phase and ones
- Level is capped at $\frac{\sqrt{m}}{\log m}$


## Lottery Election - Tournament Mode

- When 2 agents $x$ and $y$ meet, compare:
- x.payoff and $y$.payoff
- If payoff equal: compare $x$. level and $y$. level
- If level equal: compare $x$.coin and $y$. coin
- The smaller valued agent goes into minion mode
- It adopts level and payoff of opponent


## Lottery Election - Minion Mode

- Keeps record of the maximum (payoff, level) pair ever seen
- Propagates leaders with high payoff
- Helps eliminate other contenders
- Important: coin value not used as tie-breaker


## Lottery Election - Complexity

- coin, counter, modes, ones are in 0(1)
- payoff is limited to $0(\sqrt{m})$
- level is limited to $0\left(\frac{\sqrt{m}}{\log m}\right)$
- phase is limited to $0(\log m)$ since:
- $\Theta(\log$ payoff $)=\Theta(\log \sqrt{m})=\Theta\left(\frac{1}{2} \log m\right)=\Theta(\log m)$
- State size: $O(1) \times O(\sqrt{m}) \times O\left(\frac{\sqrt{m}}{\log m}\right) \times O(\log m)=O(m)$
- $m$ was set to $(10 \log n)^{2}$ so state size is $O\left(\log ^{2} n\right)$
- They also prove that it takes $0\left(\log { }^{5.3} n \log \log n\right)$ parallel time


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## Split-Join Majority

- State: A is positive, B is negative
- To limit state space:
- State is $\langle x, y\rangle$ where $x, y \in\left\{0,1,2,2^{2}, \ldots, 2^{[\log n]}\right\}$
- $\operatorname{value}(\langle x, y\rangle)=x-y$, so x is "positive" and y is "negative"
- Opinion A starts as $\left\langle 2^{[\log n]}, 0\right\rangle$
- Opinion B starts as $\left\langle 0,2^{[\log n\rceil}\right\rangle$
- Strong states: non-zero
- Weak states: $\langle 0,0\rangle^{+}$or $\langle 0,0\rangle^{-}$


## Split-Join Majority - Transition Rules

- When two agents interact, operations cancel, join, split are carried out


## cancel



## Split-Join Majority - Correctness/Complexity

- Since all operations preserve the sum
- And the initial sum is leaning to one side
- It is impossible for all agents to sway to the "wrong" side
- The Authors prove that the algorithm is guaranteed to converge in $O\left(\log ^{3} n\right)$ parallel time in expectation and w.h.p.

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