# Time-Space Trade-offs in Population Protocols

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#### Overview

- What are population protocols?
- What is leader election?
- What is the majority problem?
- Overview of the Paper
- Lower Bound for majority and leader election
- New algorithms for majority and leader election
  - Lottery Election (detailed)
  - Split-Join Majority (overview)

#### **Population Protocols**

- Set of  $n \ge 2$  agents
- Each executing deterministic state machine
- State from a finite set  $\Lambda_n$ , might depend on n
- Transition function  $\delta_n: \Lambda_n \times \Lambda_n \to \Lambda_n \times \Lambda_n$
- Output function  $\gamma_n: \Lambda_n \to O$
- Pairs of agents are chosen uniformly at random
- Each agent updates state according to transition function  $\delta_n$
- Result can be checked with output function

#### Leader Election



- All agents start in the same initial state
- Output set O is {*Win*, *Lose*}
- Goal: One agent has Output *Win*, the rest has *Lose*

### Majority Problem

- Two initial states  $A_n$ ,  $B_n$
- Output set is {*Win<sub>a</sub>*, *Win<sub>b</sub>*}
- Goal: Output of every agent should correspond to majority of initial state
- If  $A_n$ ,  $B_n$  are split 50/50, output is arbitrary

#### The Paper

- Title: Time-Space Trade-Offs in Population Protocols (2017)
- Authors:
  - Alistarh (ETH Zürich)
  - Aspnes (Yale)
  - Eisenstat (Google)
  - Gelashvili (MIT)
  - Rivest (MIT)
- Trade-off between number of states and running time
- New and improved algorithms for majority and leader election

#### The Paper

Problem	Туре	Expected Time Bound	Number of States	Reference
	Algorithm	$O(n \log n)$	4	[DV12, MNRS14]
Exact Majority	Algorithm	$O(\log^2 n)$	$\Theta(n)$	[AGV15]
$\epsilon = 1/n$	Lower Bound	$\Omega(n)$	$\leq 4$	[AGV15]
	Lower Bound	$\Omega(\log n)$	any	[AGV15]
Leader Election	Algorithm	$O(\log^3 n)$	$O(\log^3 n)$	[AG15]
	Lower Bound	$\Omega(n)$	O(1)	[DS15]
Exact Majority	Lower Bound	O(n/nolylogn)	$< 1/2 \log \log n$	This paper
Leader Election	Lower Bound	$\Omega(n/polylogn)$	$< 1/2 \log \log n$	This paper
Exact Majority	Algorithm	$O(\log^3 n)$	$O(\log^2 n)$	This paper
Leader Election	Algorithm	$O(\log^{5.3} n \log \log n)$	$O(\log^2 n)$	This paper

Figure 1: Summary of results and relation to previous work.

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#### Lower Bound (idea)

- Two-Step argument
- First: Hypothetical algorithm converges faster than allowed by the lower bound, set of low count states can be "erased"
- Second: Engineer examples to contradict the correctness of that algorithm
- Leader Election: Remaining low count states are set of all potential leaders
- Majority: Remaining low count states could sway the outcome of majority

#### Lower Bound (Leader)



COROLLARY 3.1. Any monotonic population protocol with  $|\Lambda_n| \leq 1/2 \log \log n$  states for all sufficiently large number of agents n that stably elects at least one and at most  $\ell(n)$  leaders, must take  $\Omega\left(\frac{n}{144^{|\Lambda_n|} \cdot |\Lambda_n|^6 \cdot \ell(n)^2}\right)$  expected parallel time to convergence.

 Monotonic: Number of states cannot decrease with increasing node count

#### Lower Bound (Majority)

COROLLARY 3.2. Any monotonic population protocol with  $|\Lambda_n| \leq 1/2 \log \log n$  states for all sufficiently large number of agents n that stably computes correct majority decision for initial configurations with majority advantage  $\epsilon n$ , must take  $\Omega\left(\frac{n}{36|\Lambda_n|\cdot|\Lambda_n|^6\cdot\max(2|\Lambda_n|,\epsilon n)^2}\right)$  expected parallel time to convergence.

 Monotonic: Number of states cannot decrease with increasing node count

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#### Synthetic Coin Flips

- Problem: We need a random coin parameter in our state, but states are deterministic (e.g. no randomness)
- Solution: Synthetic Coin Flips
- When x and y interact, they flip their own values
- E.g. value' = 1 value
- Reminder: Interactions are chosen uniformly at random
- Randomness is extracted from the scheduler
- They prove that w.h.p. the distribution quickly becomes uniform
- Important: Happens independently from the algorithm

### Lottery Election

- All nodes start in the same state, comprised of parameters:
  - coin = {0, 1} (initially 0)
  - mode = {seeding, lottery, tournament, minion} (initially seeding)
  - payoff, level, counter, phase, ones
- 4 Modes:
  - Seeding Mode, Lottery Mode, Tournament Mode, Minion Mode
- Fix a parameter  $m \ge (10 \log n)^2$
- Protocol will use O(m) states per node

#### Lottery Election - Seeding Mode

- Used to mix the coin parameter close to uniform random
- payoff, level = 0
- counter = 4
- In the first four interactions, decrease counter (and flip *coin*)

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• When counter reaches 0, move on to Lottery Mode

#### Lottery Election - Lottery Mode

- Used to generate payoff values
- Higher values are less likely, finding a leader becomes easier

- Increment payoff when partner has coin = 1
- When partner has coin = 0 or  $payoff = \sqrt{m}$ , move on to Tournament Mode

#### Lottery Election - Tournament Mode

- Forces agents to compete
- Generates additional tie-braking random values (level)
- Initialize level = 0
- *level* is incremented if agent consecutively sees  $\Theta(\log payoff)$  coins set to 1

- This is implemented using *phase* and *ones*
- Level is capped at  $\frac{\sqrt{m}}{\log m}$

#### Lottery Election - Tournament Mode

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#### • When 2 agents x and y meet, compare:

- *x*.*payoff* and *y*.*payoff*
- If payoff equal: compare *x*. *level* and *y*. *level*
- If level equal: compare *x*. *coin* and *y*. *coin*
- The smaller valued agent goes into *minion mode*
- It adopts *level* and *payoff* of opponent

#### Lottery Election - Minion Mode

- Keeps record of the maximum (payoff, level) pair ever seen
- Propagates leaders with high *payoff*
- Helps eliminate other contenders
- Important: *coin* value not used as tie-breaker

#### Lottery Election - Complexity

- coin, counter, modes, ones are in O(1)
- *payoff* is limited to  $O(\sqrt{m})$
- *level* is limited to  $O\left(\frac{\sqrt{m}}{\log m}\right)$
- *phase* is limited to  $O(\log m)$  since:
  - $\Theta(\log payoff) = \Theta(\log \sqrt{m}) = \Theta(\frac{1}{2}\log m) = \Theta(\log m)$
- State size:  $O(1) \times O(\sqrt{m}) \times O\left(\frac{\sqrt{m}}{\log m}\right) \times O(\log m) = O(m)$
- m was set to  $(10 \log n)^2$  so state size is  $O(\log^2 n)$
- They also prove that it takes  $O(log^{5.3}n \log \log n)$  parallel time

#### Overview

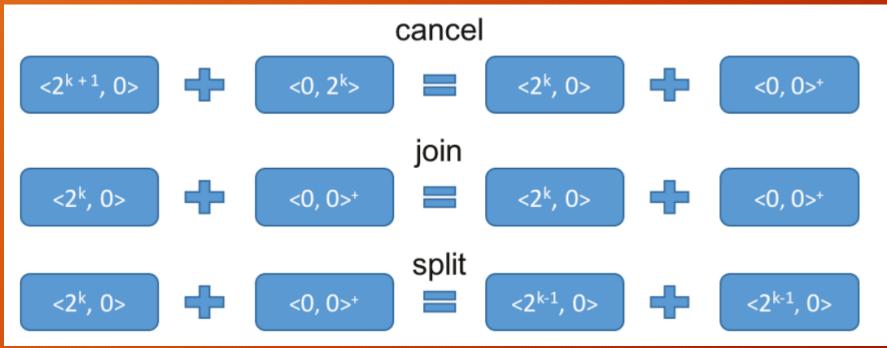
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### Split-Join Majority

- State: A is positive, B is negative
- To limit state space:
  - State is (x, y) where  $x, y \in \{0, 1, 2, 2^2, ..., 2^{\lceil \log n \rceil}\}$
  - $value(\langle x, y \rangle) = x y$ , so x is "positive" and y is "negative"
- Opinion A starts as  $\langle 2^{\lceil \log n \rceil}, 0 \rangle$
- Opinion B starts as  $\langle 0, 2^{\lceil \log n \rceil} \rangle$
- Strong states: non-zero
- Weak states:  $\langle 0,0 \rangle^+$  or  $\langle 0,0 \rangle^-$

### Split-Join Majority - Transition Rules

 When two agents interact, operations cancel, join, split are carried out



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# Split-Join Majority - Correctness/Complexity

- Since all operations preserve the sum
- And the initial sum is leaning to one side
- It is impossible for all agents to sway to the "wrong" side
- The Authors prove that the algorithm is guaranteed to converge in  $O(log^3n)$  parallel time in expectation and w.h.p.



