

JPEG2000 vs. MPEG-4 Natural Still Image Coding

Peter Meerwald, pmeerw@cosy.sbg.ac.at

Common requirements & Scalability

JPEG2000 Overview

EBCOT Coding

MPEG-4 Image Coding Overview

MZTE Overview

MZTE, ZTE & EZW Coding

Discussion & Remarks

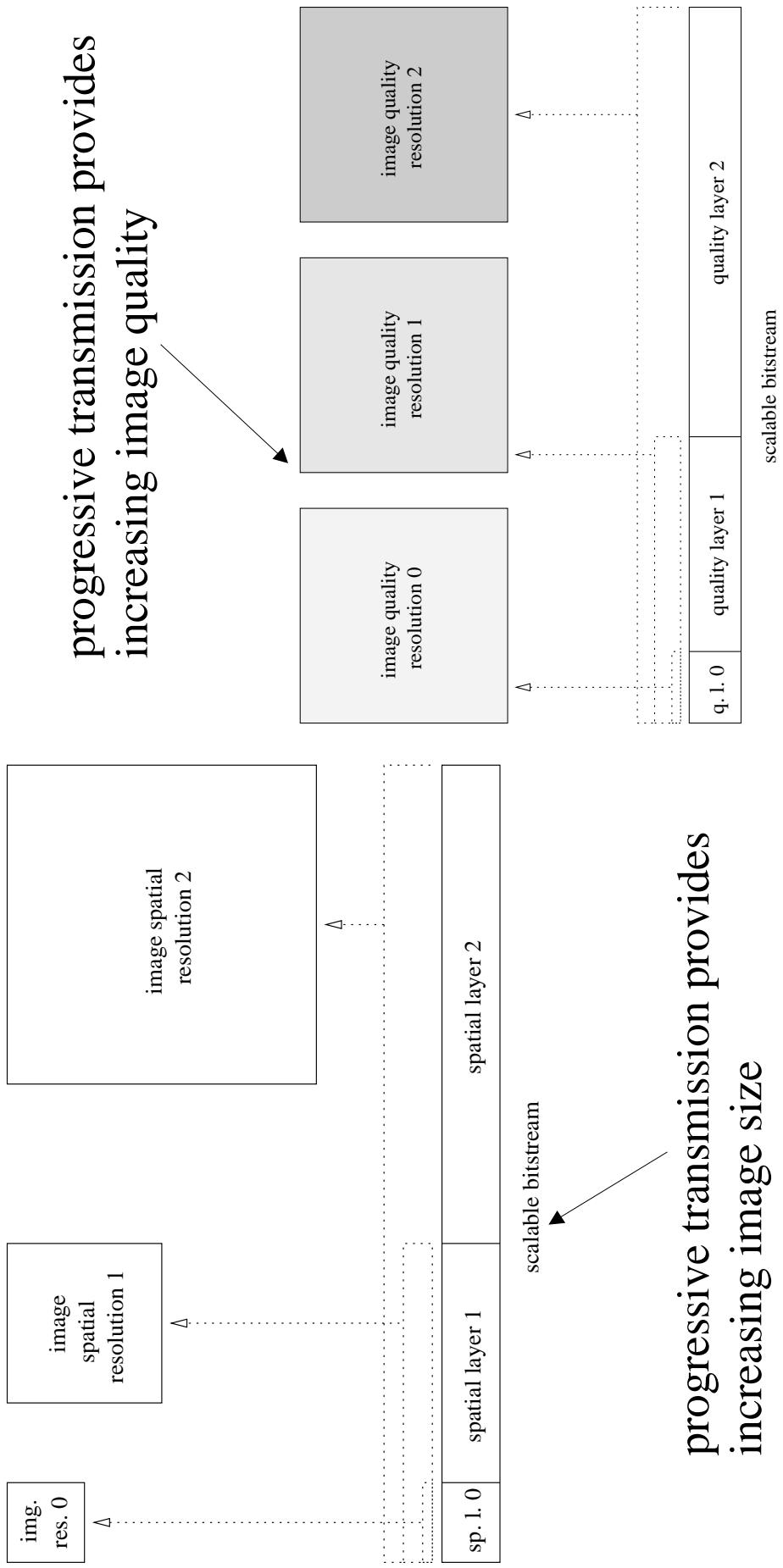
Common requirements

- compression efficiency
- arbitrary shape / region-of-interest (ROI) coding
- spatial scalability
- quality (SNR) scalability

other features:

- random access,
- error-resilience,
- low complexity,
- memory efficiency

Spatial vs. Quality Scalability



Implementations

- JPEG2000 ISO/IEC 15444 (December 2000)
 - jj2000 4.1 (EPFL), <http://jj2000.epfl.ch>
 - Jasper 1.2 (by. M. D. Adams),
<http://www.ece.ubc.ca/~mdadams/jasper/>
 - Kakadu 2.0 (by D. Taubman),
<http://maestro.ee.unsw.edu.au/~taubman/kakadu/>
 - VM 8.6 (not public)
- MPEG4 ISO/IEC 14496 (August 1999)
 - European ACTS project MoMuSys
 - Microsoft, both via <http://www.iso.ch>

JPEG2000 Overview [4,5]

- lossy and lossless operation
 - gray-scale and multi-component images
 - features: scalability, ROI, random access, error resilience, low complexity, good image quality
 - free of royalties
 - standard defines decoder, allows for extensions
- ISO/IEC 15444 Parts
- 1 – core coding system; minimum functionality
 - 2 – extensions; additional functionality
 - 3 – Motion JPEG2000; intra-frame video coding
 - 4 – conformance testing
 - 5 – reference software

[4] M. Marcellin et al., An Overview of JPEG-2000, Proc. IEEE Data Comp. Conf., pp. 523 – 541, March 2000.

[5] M. D. Adams et al., JPEG2000: The Next Generation Still Image Compression Standard, ISO/IEC JTC 1/SC 29/WG 1 N 1734, June 2000.

JPEG2000 Terminology (1)

- *image*
 - one or more *components* (colors, sub-sampling)
 - reference grid (to fix geometry of components)
 - *tiling* (for memory efficiency), *tile-component*
- *code-blocks*
 - partitioning of a subband
 - width and height power-of-two, size maximum 4096
- *coding pass*
 - associated with component, level, subband, code-block

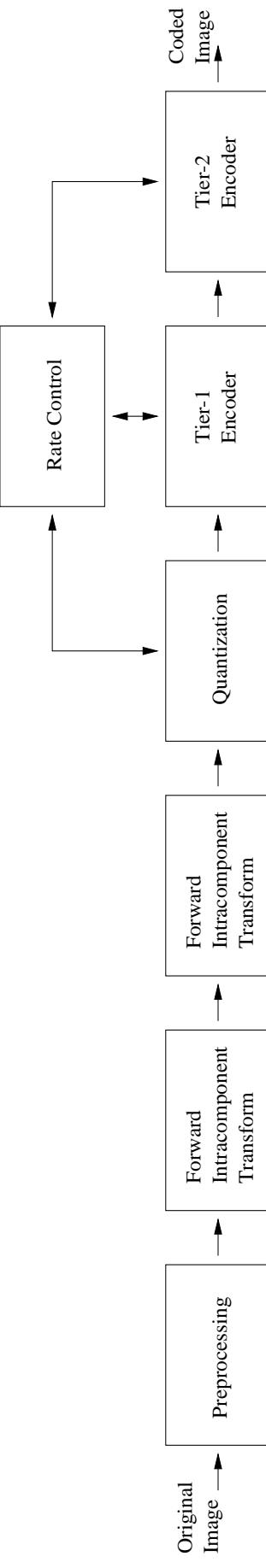
JPEG2000 Terminology (2)

- *packet*
 - collection of coding pass data: header and body
 - generated for each component, level, *layer*, and *precinct*
- *layers*
 - for scalability, rate control must decide in which layer to include coding pass
- *precinct*
 - grouping of code-blocks within a subband
 - coded in separate packets, for error resilience

EBCOT – Embedded Block Coding with Optimized Truncation [6]

- wavelet/subband coding
- bit-plane coding, multi-pass
- context-adaptive arithmetic coder, MQ-coder [7]

- rate/distortion optimization via truncation points



[6] D. Taubman, High Performance Scalable Image Compression with EBCOT, IEEE Trans. Image Proc., v. 9, n. 7, pp. 158 – 1170, July 2000.

[7] ISO/IEC 14492, Lossy/lossless coding of bi-level images, JBIG2 standard, 2000.

Preprocessing and Transforms

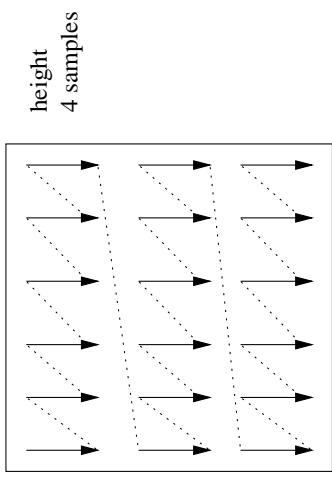
- preprocessing: input data has to be symmetrically distributed about zero
- intercomponent transform (RGB to YCrCb)
 - ICT: Irreversible Color Transform
 - RCT: Reversible Color Transform
- Intra-component Transform [8] (DWT)
 - reversible integer-to-integer (5/3)
 - nonreversible real-to-real (9/7)
 - lifting, symmetric extension

Tier-1: Bit-plane Coding

quantized indices of each subband are partitioned into code-blocks and independently coded (no interband dependencies) in 3 passes

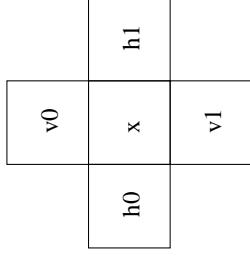
- Significance Pass

- significance and sign information
- prediction from previous bit-plane, 9 contexts



- Refinement Pass

- code next bit of significant sample, 3 contexts



- Cleanup Pass

- code not yet handled and mis-predicted samples
 - context selection
 - lazy mode
 - termination mode
- vertical aggregation mode

d0	v0	d1
h0	x	h1
d2	v1	d3

Tier-2: Packetization

- one packet for each
 - component
 - level
 - layer
 - other orderings possible
 - interleaving of tiles
 - ...
 - precinct
- 5 builtin progressions, packet ordering in code stream
 - layer–resolution–component–position
 - resolution–layer–component–position
 - resolution–position–component–layer
 - position–component–resolution–layer
 - component–position–resolution–layer

Rate Control (1)

- two mechanisms
 - quantizer step size → can be employed for ROI coding or perceptual weighting – inefficient for rate control
 - selection of coding passes
- encoder knows the contribution each coding pass makes to rate, R , and distortion, D (metrics: MSE, perceptually weighted...)
- have list of (rate, distortion) pairs for each code-block i , each pair is a possible *truncation point*
- any set of truncation points $\{n_i^\lambda\}$ which minimizes
$$D(\lambda) + \lambda R(\lambda) = \sum_i (D_i^{n_i^\lambda} + \lambda R_i^{n_i^\lambda})$$
for some λ is optimal; need to find value of λ such that $R(\lambda) = R_{max}$
 - perform minimization of $D_i^{n_i^\lambda} + \lambda R_i^{n_i^\lambda}$ for each code-block
 - need to try for many different λ using binary search (have good bounds)

Rate Control (2)

- algorithm to minimize $D_i^{n_i^\lambda} + \lambda R_i^{n_i^\lambda}$
 - initialize $n_i^\lambda = 0$
 - for $j = 1, 2, 3, \dots$
 - set $\Delta R_i^j = R_i^j - R_i^{n_i^\lambda}$ and $\Delta D_i^j = D_i^j - D_i^{n_i^\lambda}$
 - if $\Delta D_i^j / \Delta R_i^j > \lambda$ then update $n_i^\lambda = j$
- not in the standard,
EBCOT coding, can use
any or no optimization

slopes $S_i^{j_k} = \Delta D_i^j / \Delta R_i^j$ must be strictly decreasing, therefore can simply optimal select truncation point n_i^λ for a given λ

$$n_i^\lambda = \max \{ j_k \in N_i \mid S_i^{j_k} > \lambda \}$$

and can easily determine set of feasible truncation points N_i

MPEG-4 Image Coding Overview

- one of many coding tools in MPEG-4, for still image and texture coding, wavelet-based (9/3)
- MZTE coding with three quantization modes [9]
 - single quantization → developed by Sarnoff Corp.
 - multi quantization →
 - bilevel quantization (PEZW?) ← → IT?
- extension for arbitrary shape coding [10,11,12]
- was JPEG2000 candidate

Microsoft

- [9] I. Sodagar et al., Scalable Wavelet Coding for Synthetic/Natural Hybrid Images, IEEE Trans. Circ. Sys. Video. Tech., v. 9, n. 2, March 1999.
- [10] S. Li et al., Shape-adaptive wavelet coding, Proc. IEEE Symp. Circ. Sys., v. 5, pp. 281 – 284, May 1998.
- [11] G. Xing et al., Arbitrarily shaped video object coding by wavelet, Proc. IEEE Symp. Circ. Sys., May 2000.
- [12] S. Li et al., Generic, Scalable and Efficient Shape Coding for Visual Texture Objects in MPEG-4, Proc. IEEE Symp. Circ. Sys., May 2000.

Embedded Zerotree Wavelet (EZW) Coding

- exploiting hierarchical self-similarity through Zerotree hypothesis [13]
- encoding coeff. significance using four symbols:

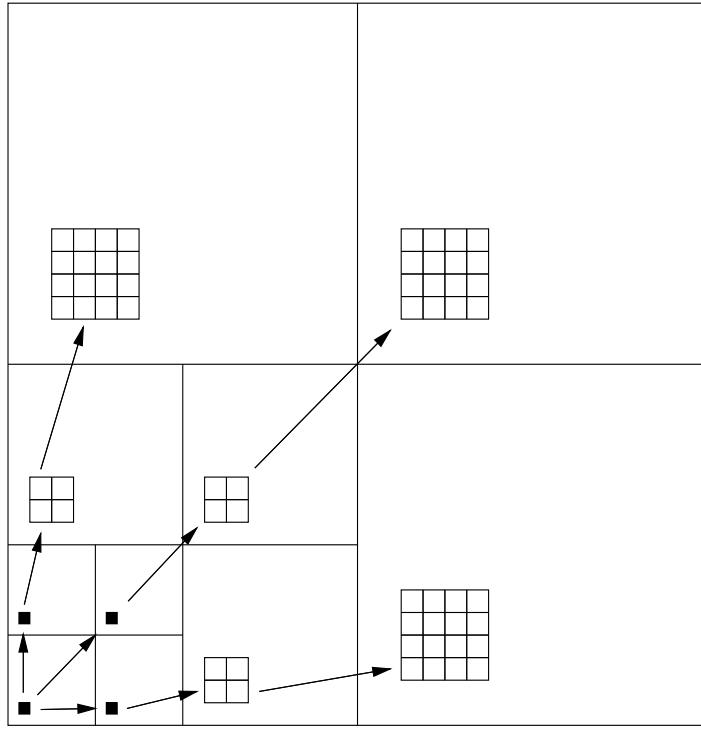
NS – negative significant

PS – positive significant

ZTR – zerotree root, children insignificant

IZ – isolated zero, child significant

- encoding significant coeff. using successive approx. quant. (SAQ), bit-plane coding [14]



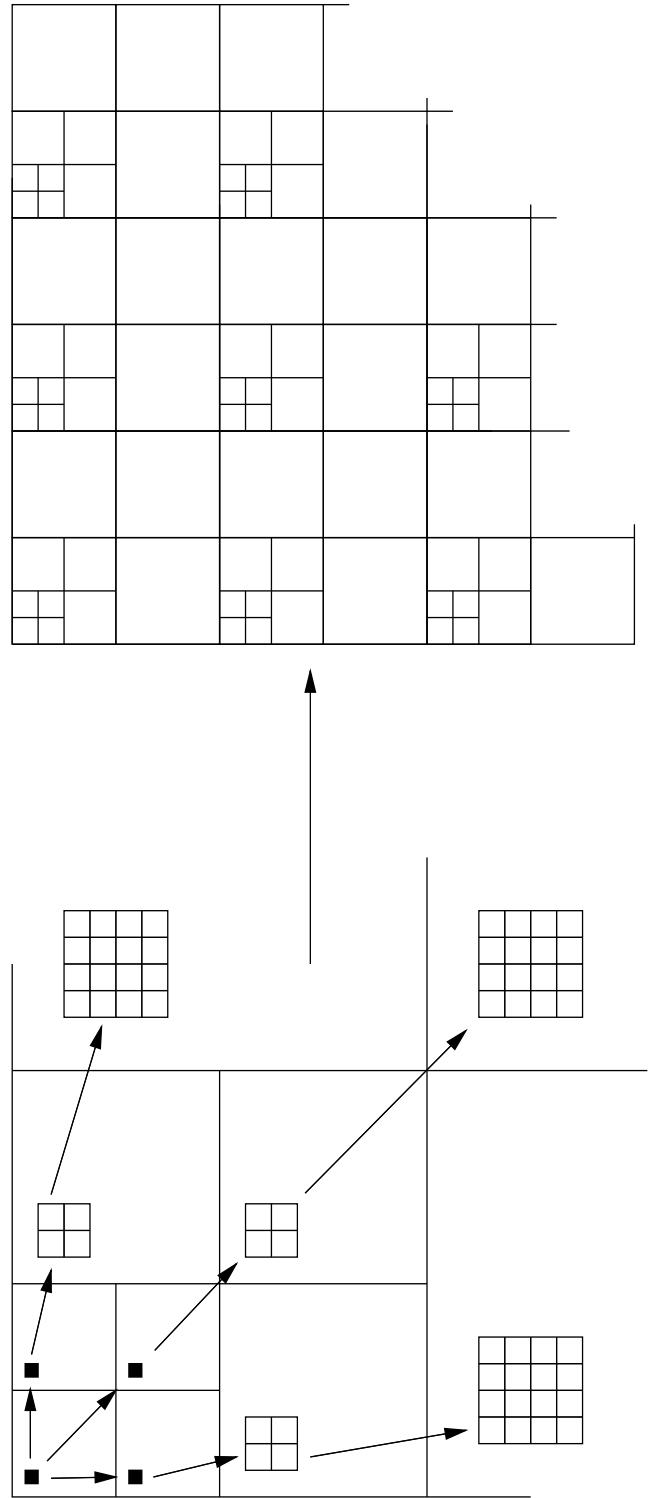
, If a wavelet coefficient at a coarse scale is *insignificant* with respect to a threshold T , then all wavelet coefficients of the *same orientation* at the *same spatial location* at finer wavelet scales are likely to be *insignificant* as well.

[13] A. Lewis et al., Image compression using the 2D wavelet transform, IEEE Trans. Image Proc., v. 1 pp. 244 – 250, April 1992.

[14] J. Shapiro, Embedded image coding using zerotrees of wavelet coefficients, IEEE Trans. Signal Proc., v. 41, n. 12, pp. 3445 – 3462, Dec. 1993.

Zerotree Entropy (ZTE) Coding

- based on EZW coding (zerotree hypothesis)
- coefficients re-organized to form a *wavelet block*
- explicit quantization, adaptivity possible
- coefficient scanning: depth-firth or subband-by-subband
- separate coding of approximation and detail subband coefficients



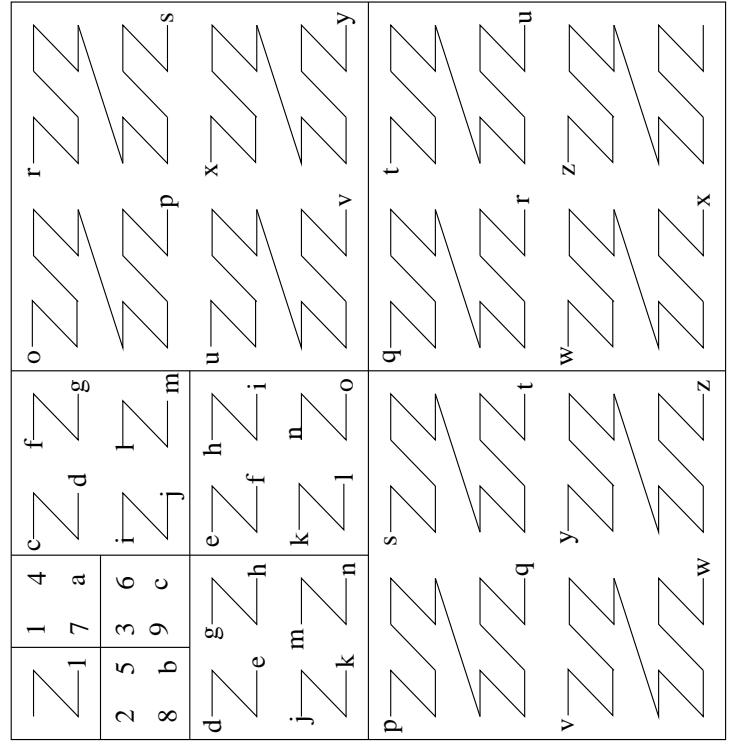
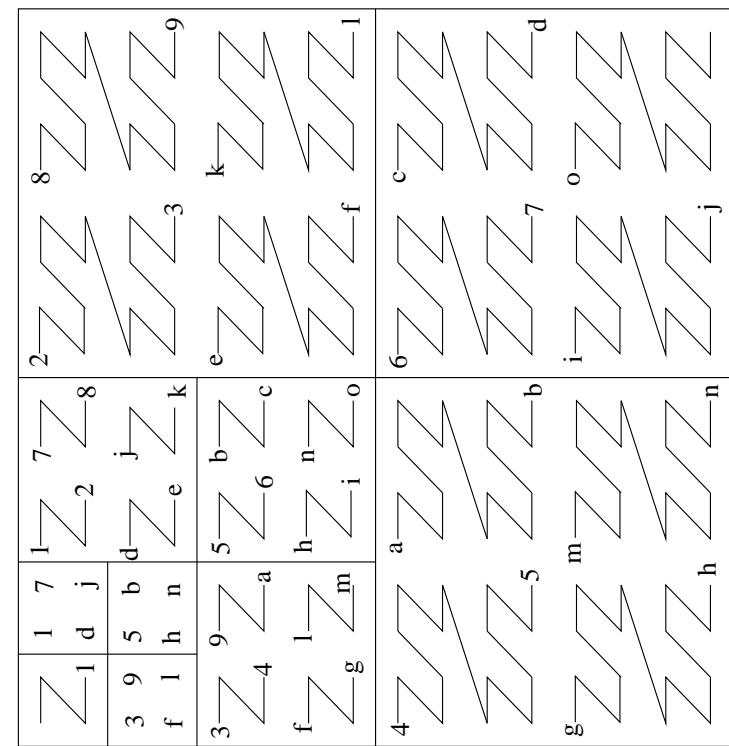
ZTE Symbols and Scan Order

ZTR – zerotree root; insignificant, as well as children

VZTR – valued zerotree root; significant, but all children insignificant

VAL – value, has non-zero descendants

IZ – isolated zero; insignificant, but has non-zero children



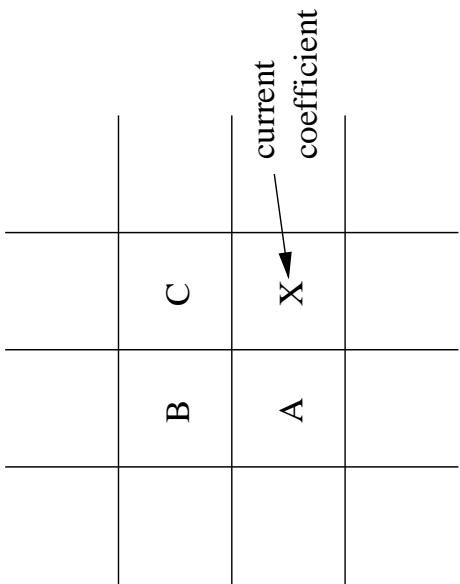
usesless memory,
requires buffer
for progressive
transmission

tree-depth first scan order

subband-by-subband scan order

ZTE Approx. Coeff. Coding

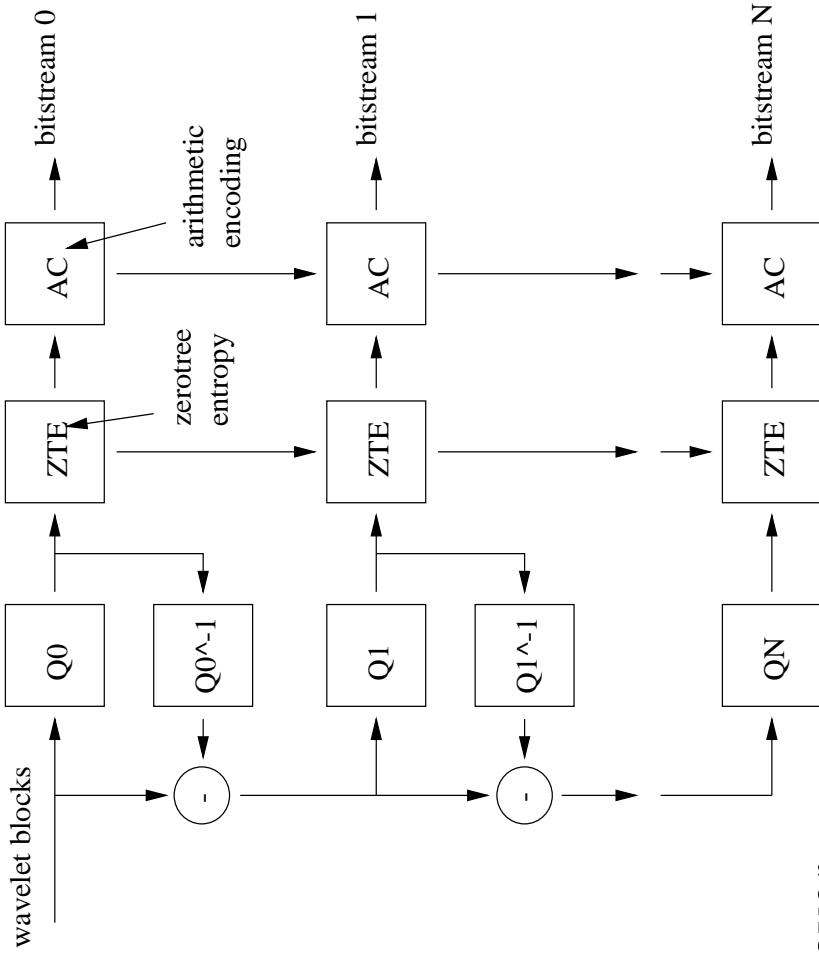
1. quantize coefficients
2. calculate predicted minimum (D_{min}) difference and maximum coeff. value (V_{max}) after prediction
3. emit quantization step size, D_{min} and V_{max}
4. subtract D_{min} from all predicted differences: $Y = X - D_{min}$
5. encode all Y in raster-scan order



forward prediction:

```
if |A - B| < |B - C|
    P = C
else
    P = A
X = X - P
```

Multiscale Zerotree Wavelet Entropy (MZTE) Coding



- based on ZTE, but improves scalability
- approx. band coded separately

- produce layer 0 using Q_0
- compute residual after quantization (Q_0)
- encode residual with finer Q_1
- leads to a sequence of refinement- Q_s

- can re-use sign information of previous layer
- can benefit from two probability models
 - case 1: coefficient quantized to zero in previous layer
 - case 2: otherwise

Comparing EZW and MZTE

- EZW
 - 4 symbols for significance map (ZTR,IZ,NS,PS)
 - 2 symbols for SAQ
- MZTE
 - 4 symbols for node type (ZTR, VZTR, VAL, IZ)
 - 2 refinement models: one for VZTR/VAL, one for VAL only
 - context modeling between scalability layers, residual model

Shape-adaptive ZTE

- good description in [15]
 - explicit representation of shape (MPEG-4 shape coding tool, developped by OKI) [18]
 - shape encoding uses context-based arithmetic encoding
 - extend zerotrees with 'out-node', do not code out-nodes
- choice of filter matters (~ 1 dB) 9/3, D-4, 12/4
- subsampling strategy matters (~ 2 dB)

[15] S. Li et al., Shape Adaptive DWTs for Arbitrarily Shaped Visual Object Coding, IEEE Trans. Circ. Sys. Video Tech., August 2000.

[18] C. Jordan et al., Shape Representation and Coding of Visual Objects in MM App., Ann. Telecomm., v. 53, n. 5, pp. 164 – 178, May 1998.

Remarks On Shape-adaptive Coding

- shape-adaptive DWT superior to DCT-based methods [11]
 - intraframe: low-pass extrapolation DCT, Δ DC-SA-DCT
 - interframe: zero padding DCT, SA-DCT
- alignment of the filter important, two strategies [15]
 - even subsampling favoring zerotree coding
 - odd subsampling favoring signal processing gain
- zerotree assumption frequently broken on object boundaries and for the prediction residue; JPEG2000-like coding superior [11]

Remarks on Zerotree Coding Gain

- correlation coefficient between parent and child magnitude ~ 0.35 – motivation for zerotree coding [14]
- experiment: rotate subbands 90° with respect to previous scale (destroys parent–child relationship) [16]
- compare SPIHT performance
 - with and without arithmetic coding, rotation to determine Gpc (increase in dB due to exploiting parent–child relationship)
 - $Gpc = 0.25$ dB for SPIHT–AC, 0.40 dB for SPIHT–NC

Remarks on Mutual Subband Information

- compute mutual information [17] $I(X;Y) = E_{x,y} \log \frac{p(x,y)}{p(x)p(y)} = h(X) - h(X \vee Y)$
 - $I(X;PX)$ parent–child relationship
 - $I(X;NX)$ coefficient–neighborhood relationship
 - $I(X;PX,NX)$ combined model
- experimental results
 - $I(X;PX,NX)$ always much larger than $I(X;PX)$
 - $I(X;NX)$ close to $I(X;PX,NX)$
 - longer filters (Daubechies–4 vs. 8) favour $I(X;NX)$

Summary

- two wavelet-based standards for still image coding with support for scalability and ROI/shape-adaptive coding
- JPEG2000 is newer and seems to be better designed
- extensions for JPEG2000 interesting: perceptual coding; different quantization, decomposition strategies, ...
- performance evaluation in terms of image quality and runtime necessary (taking 'features' into account)